In re:

Chapter 11

Lehman Brothers Holdings Inc.,

Case No. 08-13555 (JMP)

(Jointly Administered)

# NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY PURSUANT TO RULE 3001(e)(2)

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001 (e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this notice and attached evidence.

Name of Transferee: The Royal Bank of Scotland Na

N.V.

Name and Address where notices to transferee should be sent: The Royal Bank of Scotland N.V. 30/F., AIA Central, 1 Connaught Road Central, HONG KONG

Name of Transferor: CHUI WAI SZE

Claim No.: 51759

Amount of Claim: USD 77,017.87

Date Claim Filed: 28 October 2009

Telephone: (852) 3961-3018

Attention: Client Engagement Team

Evidence of Transfer of Claim is attached as Exhibit A.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_ Date: \_\_\_\_ 6 February 2014 \_\_\_\_\_ On behalf of The Royal Bank of Scotland N.V.

TO: THE DEBTORS AND THE BANKRUPTCY COURT

CHUI WAI SZE ("<u>Transferor</u>") has unconditionally and irrevocably transferred and assigned to THE ROYAL BANK OF SCOTLAND N.V. ("<u>Transferee</u>") 100% of its right, title, interest, claims and causes of action in and to, or arising under or in connection with, its claim (as such term is defined in Section 101(5) of the U.S. Bankruptcy Code) against Lehman Brothers Holdings Inc. ("<u>LBHI</u>") and its affiliates (the "<u>Debtors</u>"), the debtor in Case No. 08-13555 (JMP) pending in the United States Bankruptcy Court for the Southern District of New York (the "<u>Bankruptcy Court</u>"), as set forth in the proof of claim No. 51759 filed by Transferor with the Bankruptcy Court in respect of the foregoing claim.

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the foregoing claim, recognizing Transferee as the sole owner and holder of the claim, and directing that all payments or distributions of money or property in respect of the claim be delivered or made to Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM is executed this 6 day of February 2014.

**CHUI WAI SZE** 

_
By:
Name:
Title:
THE DOWAL DANK OF COOTLAND N. V.
THE ROYAL BANK OF SCOTLAND N.V.
By:
Name: David Campbell
Title: Head of Tail Management, Asia
Retail and Commercial Markets, Asia

		,
In re:		
		Chapter 11
Lehman Brothers Holdings Inc.,		Case No. 08-13555 (JMP)
	Debtor.	(Jointly Administered)

# NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY PURSUANT TO RULE 3001(e)(2)

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001 (e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this notice and attached evidence.

Name of Transferee: The Royal Bank of Scotland
N.V.

Name of Transferor: CHUNG WAI MAY &
LAM YEE FAN ANNETTE

Name and Address where notices to transferee should be sent: The Royal Bank of Scotland N.V. 30/F., AIA Central, 1 Connaught Road Central,

HONG KONG

Amount of Claim: USD 70,000.00 Date Claim Filed: 23 October 2009

Claim No.: 45296

Telephone: (852) 3961-3018

Attention: Client Engagement Team

Evidence of Transfer of Claim is attached as Exhibit A.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

* P/1			
By:	Date:	<u>6 February 2014</u>	
On behalf of The Royal Bank of Scotland N.V.			

#### TO: THE DEBTORS AND THE BANKRUPTCY COURT

CHUNG WAI MAY & LAM YEE FAN ANNETTE ("Transferor") has unconditionally and irrevocably transferred and assigned to THE ROYAL BANK OF SCOTLAND N.V. ("Transferee") 100% of its right, title, interest, claims and causes of action in and to, or arising under or in connection with, its claim (as such term is defined in Section 101(5) of the U.S. Bankruptcy Code) against Lehman Brothers Holdings Inc. ("LBHI") and its affiliates (the "Debtors"), the debtor in Case No. 08-13555 (JMP) pending in the United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court"), as set forth in the proof of claim No. 45296 filed by Transferor with the Bankruptcy Court in respect of the foregoing claim.

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the foregoing claim, recognizing Transferee as the sole owner and holder of the claim, and directing that all payments or distributions of money or property in respect of the claim be delivered or made to Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM is executed this 6 day of February 2014.

CHUNG ANNETT		MAY	&	LAM	YEE	FAN
Ву:						
Name:						
Γitle:						
ГНЕ ROY	AL BA	ANK OF	SCC	TLANI	O N.V.	
	1 ~					

Name: David Campbell

Title: Head of Tail Management, Asia

Retail and Commercial Markets, Asia

<b>T</b>		
In re:		
		Chapter 11
Lehman Brothers Holdings Inc.,		Case No. 08-13555 (JMP)
	D.L.	(Jointly Administered)
	Debtor.	

# NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY PURSUANT TO RULE 3001(e)(2)

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001 (e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this notice and attached evidence.

Name of Transferee: The Royal Bank of Scotland N.V.

Name and Address where notices to transferee should be sent: The Royal Bank of Scotland N.V.

30/F., AIA Central, 1 Connaught Road Central, HONG KONG

Name of Transferor: CHUNG YUEN WAH CONNIE

Claim No.: 48550

Amount of Claim: USD 30,000.00

Date Claim Filed: 27 October 2009

Telephone: (852) 3961-3018

Attention: Client Engagement Team

Evidence of Transfer of Claim is attached as Exhibit A.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

X-Q11			
By:	Date:	<u>6 February 2014</u>	~
On behalf of The Royal Bank of Scotland N.V.			

TO: THE DEBTORS AND THE BANKRUPTCY COURT

CHUNG YUEN WAH CONNIE ("<u>Transferor</u>") has unconditionally and irrevocably transferred and assigned to THE ROYAL BANK OF SCOTLAND N.V. ("<u>Transferee</u>") 100% of its right, title, interest, claims and causes of action in and to, or arising under or in connection with, its claim (as such term is defined in Section 101(5) of the U.S. Bankruptcy Code) against Lehman Brothers Holdings Inc. ("<u>LBHI</u>") and its affiliates (the "<u>Debtors</u>"), the debtor in Case No. 08-13555 (JMP) pending in the United States Bankruptcy Court for the Southern District of New York (the "<u>Bankruptcy Court</u>"), as set forth in the proof of claim No. 48550 filed by Transferor with the Bankruptcy Court in respect of the foregoing claim.

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the foregoing claim, recognizing Transferee as the sole owner and holder of the claim, and directing that all payments or distributions of money or property in respect of the claim be delivered or made to Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM is executed this 6 day of February 2014.

By:
Name:
Title:
THE ROYAL BANK OF SCOTLAND N.V.
By:

CHUNG YUEN WAH CONNIE

Title: Head of Tail Management, Asia Retail and Commercial Markets, Asia

In re:		
		Chapter 11
Lehman Brothers Holdings Inc.,	:	Case No. 08-13555 (JMP)
		(Jointly Administered)
	Debtor.	

# NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY PURSUANT TO RULE 3001(e)(2)

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001 (e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this notice and attached evidence.

Name of Transferee: The Royal Bank of Scotland N.V.

Name and Address where notices to transferee should be sent: The Royal Bank of Scotland N.V.

30/F., AIA Central, 1 Connaught Road Central, HONG KONG

Name of Transferor: DONG SAI MING FERNANDO

Claim No.: 45271

Amount of Claim: USD 110,000.00

Date Claim Filed: 23 October 2009

Telephone: (852) 3961-3018 Attention: Client Engagement Team

Evidence of Transfer of Claim is attached as Exhibit A.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:	Date:	6 February 2014
On behalf of The Royal Bank of Scotland N.V.		

#### TO: THE DEBTORS AND THE BANKRUPTCY COURT

DONG SAI MING FERNANDO ("<u>Transferor</u>") has unconditionally and irrevocably transferred and assigned to THE ROYAL BANK OF SCOTLAND N.V. ("<u>Transferee</u>") 100% of its right, title, interest, claims and causes of action in and to, or arising under or in connection with, its claim (as such term is defined in Section 101(5) of the U.S. Bankruptcy Code) against Lehman Brothers Holdings Inc. ("<u>LBHI</u>") and its affiliates (the "<u>Debtors</u>"), the debtor in Case No. 08-13555 (JMP) pending in the United States Bankruptcy Court for the Southern District of New York (the "<u>Bankruptcy Court</u>"), as set forth in the proof of claim No. 45271 filed by Transferor with the Bankruptcy Court in respect of the foregoing claim.

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the foregoing claim, recognizing Transferee as the sole owner and holder of the claim, and directing that all payments or distributions of money or property in respect of the claim be delivered or made to Transferee.

DONG SAI MING FERNANDO
By:
Name:
Title:
THE ROYAL BANK OF SCOTLAND N.V.
Ву:
Name: David Campbell
Title: Head of Tail Management, Asia
Retail and Commercial Markets, Asia

In re:		
		Chapter 11
Lehman Brothers Holdings Inc.,		Case No. 08-13555 (JMP)
	Debtor.	(Jointly Administered)

### NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY PURSUANT TO RULE 3001(e)(2)

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001 (e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this notice and attached evidence.

Name of Transferor: FAN LI Name of Transferee: The Royal Bank of Scotland

N.V.

Claim No.: 49467

Name and Address where notices to transferee should be sent: The Royal Bank of Scotland N.V. 30/F., AIA Central, 1 Connaught Road Central,

Amount of Claim: USD 200,000.00

HONG KONG

Date Claim Filed: 27 October 2009

Telephone: (852) 3961-3018

Attention: Client Engagement Team

Evidence of Transfer of Claim is attached as Exhibit A.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:	Date:	<u>6 February 2014</u>	***
On behalf of The Royal Bank of Scotland N.V.			

#### TO: THE DEBTORS AND THE BANKRUPTCY COURT

FAN LI ("<u>Transferor</u>") has unconditionally and irrevocably transferred and assigned to THE ROYAL BANK OF SCOTLAND N.V. ("<u>Transferee</u>") 100% of its right, title, interest, claims and causes of action in and to, or arising under or in connection with, its claim (as such term is defined in Section 101(5) of the U.S. Bankruptcy Code) against Lehman Brothers Holdings Inc. ("<u>LBHI</u>") and its affiliates (the "<u>Debtors</u>"), the debtor in Case No. 08-13555 (JMP) pending in the United States Bankruptcy Court for the Southern District of New York (the "<u>Bankruptcy Court</u>"), as set forth in the proof of claim No. 49467 filed by Transferor with the Bankruptcy Court in respect of the foregoing claim.

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the foregoing claim, recognizing Transferee as the sole owner and holder of the claim, and directing that all payments or distributions of money or property in respect of the claim be delivered or made to Transferee.

FAN L	I
By:	
Name:	
Title:	
THE R	OYAL BANK OF SCOTLAND N.V.
Ву:	Sall
Name:	David Campbell
Title:	Head of Tail Management, Asia
	Retail and Commercial Markets, Asia

<b>UNITED STATES</b>	<b>BANKRUPTCY COURT</b>
SOUTHERN DIST	RICT OF NEW YORK

		•
In re:		
		Chapter 11
Lehman Brothers Holdings Inc.,		Case No. 08-13555 (JMP
	Debtor.	(Jointly Administered)

# NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY PURSUANT TO RULE 3001(e)(2)

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001 (e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this notice and attached evidence.

Name of Transferee: The Royal Bank of Scotland N.V.

Claim No.: 47354

Name and Address where notices to transferee should be sent: The Royal Bank of Scotland N.V.

30/F., AIA Central, 1 Connaught Road Central, HONG KONG

Name of Transferor: FAN OI PING OLIVIA

Amount of Claim: USD 66,748.82

Date Claim Filed: 26 October 2009

Telephone: (852) 3961-3018 Attention: Client Engagement Team

Evidence of Transfer of Claim is attached as Exhibit A.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:	Date:	6 February 2014
On behalf of The Royal Bank of Scotland N.V.		

#### TO: THE DEBTORS AND THE BANKRUPTCY COURT

FAN OI PING OLIVIA ("<u>Transferor</u>") has unconditionally and irrevocably transferred and assigned to THE ROYAL BANK OF SCOTLAND N.V. ("<u>Transferee</u>") 100% of its right, title, interest, claims and causes of action in and to, or arising under or in connection with, its claim (as such term is defined in Section 101(5) of the U.S. Bankruptcy Code) against Lehman Brothers Holdings Inc. ("<u>LBHI</u>") and its affiliates (the "<u>Debtors</u>"), the debtor in Case No. 08-13555 (JMP) pending in the United States Bankruptcy Court for the Southern District of New York (the "<u>Bankruptcy Court</u>"), as set forth in the proof of claim No. 47354 filed by Transferor with the Bankruptcy Court in respect of the foregoing claim.

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the foregoing claim, recognizing Transferee as the sole owner and holder of the claim, and directing that all payments or distributions of money or property in respect of the claim be delivered or made to Transferee.

FAN OI PING OLIVIA
By:
Name:
Title:
THE ROYAL BANK OF SCOTLAND N.V.
By:
Name: David Campbell
Title: Head of Tail Management, Asia
Retail and Commercial Markets, Asia

In re:		
		Chapter 11
Lehman Brothers Holdings Inc.,		Case No. 08-13555 (JMP)
	Debtor.	(Jointly Administered)

# NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY PURSUANT TO RULE 3001(e)(2)

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001 (e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this notice and attached evidence.

Name of Transferee: The Royal Bank of Scotland
N.V.
Name of Transferor: FULL MIGHTY
LIMITED

Name and Address where notices to transferee should be sent: The Royal Bank of Scotland N.V. 30/F., AIA Central, 1 Connaught Road Central, HONG KONG

Amount of Claim: USD 256,726.23

Date Claim Filed: 26 October 2009

Claim No.: 45854

Telephone: (852) 3961-3018

Attention: Client Engagement Team

Evidence of Transfer of Claim is attached as Exhibit A.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:	Date:	6 February 2014	
On behalf of the Poyal Rank	of Scotland N.V.		

#### TO: THE DEBTORS AND THE BANKRUPTCY COURT

FULL MIGHTY LIMITED ("<u>Transferor</u>") has unconditionally and irrevocably transferred and assigned to THE ROYAL BANK OF SCOTLAND N.V. ("<u>Transferee</u>") 100% of its right, title, interest, claims and causes of action in and to, or arising under or in connection with, its claim (as such term is defined in Section 101(5) of the U.S. Bankruptcy Code) against Lehman Brothers Holdings Inc. ("<u>LBHI</u>") and its affiliates (the "<u>Debtors</u>"), the debtor in Case No. 08-13555 (JMP) pending in the United States Bankruptcy Court for the Southern District of New York (the "<u>Bankruptcy Court</u>"), as set forth in the proof of claim No. 45854 filed by Transferor with the Bankruptcy Court in respect of the foregoing claim.

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the foregoing claim, recognizing Transferee as the sole owner and holder of the claim, and directing that all payments or distributions of money or property in respect of the claim be delivered or made to Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM is executed this 6 day of February 2014.

FULL MIGHT I LIMITED
By:
Name:
Title:
THE ROYAL BANK OF SCOTLAND N.V.
Ву:
Name: David Campbell
Title: Head of Tail Management, Asia
Retail and Commercial Markets, Asia

EIII MICHTVI MITED

In re:		
		Chapter 11
Lehman Brothers Holdings Inc.,		Case No. 08-13555 (JMP)
	Debtor.	(Jointly Administered)

# NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY PURSUANT TO RULE 3001(e)(2)

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001 (e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this notice and attached evidence.

Name of Transferee: The Royal Bank of Scotland
N.V.

Claim No.: 55214

Name and Address where notices to transferee should be sent: The Royal Bank of Scotland N.V.

30/F., AIA Central, 1 Connaught Road Central,
HONG KONG

Telephone: (852) 3961-3018

Attention: Client Engagement Team

Evidence of Transfer of Claim is attached as Exhibit A.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:		Date:	6 February 2014	_
On behalf	of The Royal Bank of Scotland N.V.			

#### TO: THE DEBTORS AND THE BANKRUPTCY COURT

FUNG SIU CHUNG ("<u>Transferor</u>") has unconditionally and irrevocably transferred and assigned to THE ROYAL BANK OF SCOTLAND N.V. ("<u>Transferee</u>") 100% of its right, title, interest, claims and causes of action in and to, or arising under or in connection with, its claim (as such term is defined in Section 101(5) of the U.S. Bankruptcy Code) against Lehman Brothers Holdings Inc. ("<u>LBHI</u>") and its affiliates (the "<u>Debtors</u>"), the debtor in Case No. 08-13555 (JMP) pending in the United States Bankruptcy Court for the Southern District of New York (the "<u>Bankruptcy Court</u>"), as set forth in the proof of claim No. 55214 filed by Transferor with the Bankruptcy Court in respect of the foregoing claim.

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the foregoing claim, recognizing Transferee as the sole owner and holder of the claim, and directing that all payments or distributions of money or property in respect of the claim be delivered or made to Transferee.

FUNG SIU CHUNG
By:
Name:
Title:
THE ROYAL BANK OF SCOTLAND N.V.
Ву:
Name: David Campbell
Title: Head of Tail Management, Asia
Petail and Commercial Markets Asia

In re:		
		Chapter 11
Lehman Brothers Holdings Inc.,		Case No. 08-13555 (JMP)
		(Jointly Administered)
	Debtor.	

# NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY PURSUANT TO RULE 3001(e)(2)

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001 (e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this notice and attached evidence.

Name of Transferee: The Royal Bank of Scotland
N.V.

Claim No.: 55213

Name and Address where notices to transferee should be sent: The Royal Bank of Scotland N.V.

30/F., AIA Central, 1 Connaught Road Central,

Date Claim Filed: 29 October 2009

Telephone: (852) 3961-3018

HONG KONG

Attention: Client Engagement Team

Evidence of Transfer of Claim is attached as Exhibit A.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

X/M			
By:	Date:	<u>6 February 2014</u>	
On behalf of The Royal Bank of Scotland N.V.			

#### TO: THE DEBTORS AND THE BANKRUPTCY COURT

FUNG SIU CHUNG ("<u>Transferor</u>") has unconditionally and irrevocably transferred and assigned to THE ROYAL BANK OF SCOTLAND N.V. ("<u>Transferee</u>") 100% of its right, title, interest, claims and causes of action in and to, or arising under or in connection with, its claim (as such term is defined in Section 101(5) of the U.S. Bankruptcy Code) against Lehman Brothers Holdings Inc. ("<u>LBHI</u>") and its affiliates (the "<u>Debtors</u>"), the debtor in Case No. 08-13555 (JMP) pending in the United States Bankruptcy Court for the Southern District of New York (the "<u>Bankruptcy Court</u>"), as set forth in the proof of claim No. 55213 filed by Transferor with the Bankruptcy Court in respect of the foregoing claim.

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the foregoing claim, recognizing Transferee as the sole owner and holder of the claim, and directing that all payments or distributions of money or property in respect of the claim be delivered or made to Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM is executed this 6 day of February 2014.

ELDIC CHI CHIDIC

FUNG SIU CHUNG
By:
Name:
Title:
THE ROYAL BANK OF SCOTLAND N.V.
Ву:
Name: David Campbell
Title: Head of Tail Management, Asia
Retail and Commercial Markets, Asia

In re:		
		Chapter 11
Lehman Brothers Holdings Inc.,		Case No. 08-13555 (JMP)
	Debtor.	(Jointly Administered)

# NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY PURSUANT TO RULE 3001(e)(2)

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001 (e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this notice and attached evidence.

Name of Transferee: The Royal Bank of Scotland Name of Transferor: FUNG SIU PING EDE N.V.

Claim No.: 45839

Name and Address where notices to transferee should be sent: The Royal Bank of Scotland N.V. 30/F., AIA Central, 1 Connaught Road Central, HONG KONG

Amount of Claim: USD 102,690.49 Date Claim Filed: 26 October 2009

Telephone: (852) 3961-3018

Attention: Client Engagement Team

Evidence of Transfer of Claim is attached as Exhibit A.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_ Date: \_\_\_\_6 February 2014 \_\_\_\_\_ On behalf of The Royal Bank of Scotland N.V.

#### TO: THE DEBTORS AND THE BANKRUPTCY COURT

FUNG SIU PING EDE ("<u>Transferor</u>") has unconditionally and irrevocably transferred and assigned to THE ROYAL BANK OF SCOTLAND N.V. ("<u>Transferee</u>") 100% of its right, title, interest, claims and causes of action in and to, or arising under or in connection with, its claim (as such term is defined in Section 101(5) of the U.S. Bankruptcy Code) against Lehman Brothers Holdings Inc. ("<u>LBHI</u>") and its affiliates (the "<u>Debtors</u>"), the debtor in Case No. 08-13555 (JMP) pending in the United States Bankruptcy Court for the Southern District of New York (the "<u>Bankruptcy Court</u>"), as set forth in the proof of claim No. 45839 filed by Transferor with the Bankruptcy Court in respect of the foregoing claim.

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the foregoing claim, recognizing Transferee as the sole owner and holder of the claim, and directing that all payments or distributions of money or property in respect of the claim be delivered or made to Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM is executed this 6 day of February 2014.

FUNG SILL PING EDE

I ONG SIO I ING EDE
By:
Name:
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